

# Fact Sheet: Recipient Expanded Authority for Carryover Funding

CDC may waive certain prior approval requirements and provide authority for a recipient to undertake activities and expenditures without the need for agency prior approval. These operating authorities are termed “expanded authority.” Under expanded authority for carryover, the recipient may spend unobligated funds in a following budget period for any approved cost that falls within the scope and objectives of the project.

## CDC Implementation of Expanded Authority for Carryover

By providing expanded authority for carryover, CDC creates efficiencies by reducing the time and effort of administering an award for both recipients and CDC. The Office of Financial Resources’ Office of Grants Services (OGS) will review new, continuation, and amendment award actions to determine if expanded authority is applicable. If applicable, expanded authority for carryover will be *explicitly* stated in the Notice of Award Terms and Conditions.

### Determining Expanded Authority for Carryover Applicability for CDC/ATSDR Recipients

Expanded authority for carryover on a Notice of Award (NOA) will be based on the several factors as outlined below:

- Location of performance of award (**limited to awards performed in the US and US Territories**)
- Documented recipient risk, performance, or financial management conditions
- Any conditions imposed by law, statutory authority, or funding appropriation for the award

### Limitations on Expanded Authority for Carryover

Prior approval requirements for other budget, programmatic, or cost-related prior approvals identified in [45 CFR Part 75.308](#) and the Notice of Award remain in effect. Recipients may not carryover any funds previously restricted, or apply carryover funds to items that would normally require prior approval (e.g. equipment, revision of program plans, etc.). In addition, recipients must use funds for purposes within scope of the approved project and published Notice of Funding Opportunity (NOFO).

### How do recipients report Carryover of unobligated funds?

A recipient granted expanded authority for carryover will report use/intended use of unobligated funds with submission of the annual Federal Financial Report (FFR), in Section 12 “Remarks.”

### Conditions for Continued Approval for Expanded Authority for Carryover

Once an expanded authority for carryover is granted, continued authority is conditioned upon recipient compliance with all terms and conditions, acceptable program performance, and maintenance of all applicable fiscal requirements. If the Grants Management Officer (GMO) determines a recipient is not in compliance, a GMO may remove the expanded authority; use unobligated balances to offset CDC funding for a subsequent budget period; or a combination of these actions.

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