

NATIONAL INSTITUTE
FOR OCCUPATIONAL SAFETY AND HEALTH
NATIONAL PERSONAL PROTECTIVE TECHNOLOGY LABORATORY
PUBLIC MEETING
COMMENTS ON PROPOSED RULES FOR:
APPROVAL TESTS AND STANDARDS FOR
CLOSED-CIRCUIT ESCAPE RESPIRATORS (CCERS)

Monday, March 23, 2009

Commencing at 1:00 p.m. at the University
of Maryland University College Marriott, 3501
University Boulevard E, Adelphi, Maryland.

1 PROCEEDINGS

2 MR. HEARL: Good afternoon and welcome.

3 It's 1 o'clock, which is the appointed
4 starting hour for this public meeting.5 My name is Frank Hearl, and I'm the Chief
6 of Staff for the National institute for Occupational
7 Safety and Health. That's NIOSH. And we are here
8 today to accept public comment on the proposed rules
9 revising Title 42 Code of Federal Regulations Part
10 84, approval tests and standards for closed-circuit
11 escape respirators, also known as CCERS.12 This notice of proposed rulemaking for
13 this action was originally published in the Federal
14 Register on December 10, 2008.15 Note that the period to submit written
16 comments on the proposed rule has been extended to
17 April 10, 2009 to permit additional time for parties
18 to submit their comments to the docket.19 I would like to start this meeting with a
20 couple of significant housekeeping announcements.21 First, should we have to evacuate the
22 building, the emergency exits are real easy to find.

1 Just go out through either of the two back doors,
2 and there's doors to the left and also just pretty
3 much just straight across. You will be able to
4 evacuate the building.

5 Second, the nearest bathrooms are located
6 out the back doors and to the left and past the
7 restaurant. You will see signs that will direct you
8 there.

9 And third, in deference to today 's
10 speakers and in consideration of others, I would ask
11 that everyone please take a moment and put your cell
12 phones and pagers and such in vibrate mode.

13 So the purpose of today's meeting is to
14 seek public comment on proposed rules published on
15 December 10, 2008. This is the second and final
16 public meeting that we are holding on these rules.
17 The first was held last Monday, March 16, 2009 in
18 Denver, Colorado.

19 We will attempt to complete our meeting
20 here today by 5 Eastern Daylight Time, and organize
21 our session as follows:

22 First, we will hear a brief presentation

1 by the NIOSH staff, who will describe the changes
2 that are proposed by these rules.

3 We will then invite to the lectern persons
4 who have preregistered to speak at this meeting, or
5 are in response to the Federal Register notice.

6 And as of this moment, I have got one
7 individual, Mike Kay, from Ocenco, who has asked to
8 speak.

9 We will then invite to the lectern persons
10 who would like to speak who haven't signed up. But
11 if you do want to get on a list, we have a list out
12 back. I think this seems like this probably isn't
13 necessary, so you can just identify yourself to me,
14 and you can make comments.

15 And finally, as time permits, we will
16 invite anyone else who wants to make comments from
17 the floor.

18 I will point out a few things to you. If
19 you haven't already done, so please register your
20 attendance on the attendance pads in the back by
21 signing those sheets at the registration table.

22 Secondly, this meeting is being recorded,

1 and transcripts will be placed in the regulatory
2 docket after the meeting.

3 There will be a question-and-answer period
4 after we are done with presentations, and so you
5 could ask the NIOSH panel questions for
6 clarification regarding these rules.

7 I would also that ask that when you get up
8 to speak please, state your name and who you
9 represent so that we can accurately attribute your
10 remarks for the record.

11 NIOSH also identified some specific
12 questions that we would like public comment on, and
13 we did that in the Federal Register. And you will
14 hear what those were during the NIOSH presentation.

15 But be aware that, you know, any comments
16 that you have that are relevant to the proposed rule
17 are also welcome.

18 Let me now introduce my colleagues from
19 NIOSH who will be part of the panel participating at
20 this meeting.

21 To the far left is Jon Szalajda. Jon's
22 current position is Branch Chief for the Policy

1 Standards Development Branch at NIOSH's National
2 Personal Protective Technology Laboratory, NPPTL.

3 He is in charge of the development of new
4 standards and standard operating test procedures.
5 Jon's background includes more than 20 years of
6 experience in the field of personal protective
7 technology.

8 Tim Rehak, to the left side of the table
9 there, is a professional engineer with the Policy
10 and Standards Development Branch. He has been
11 involved with SCSR research and testing since 1995,
12 and is the project officer and team leader in the
13 develop of the CCER testing certification standard.

14 Bob Stein, in the center to my right, is a
15 professional engineer with the testing and
16 evaluation branch, and he has been involved in
17 respirator certification with NIOSH since 1995 and
18 is currently involved with the SCSR long-term field
19 evaluation and is a member of the develop of the
20 CCER testing and certification standard.

21 Ted Katz, to my left, is a public health
22 analyst. And at NIOSH, he is the principal

1 regulatory writer and a coordinator for regulatory
2 actions.

3 So I would like at this point to introduce
4 Tim Rehak, who will briefly describe the proposed
5 rules and identify some specific questions that we
6 posed in the December 10 Federal Register notice.

7 MR. REHAK: Good afternoon. My name is
8 Tim Rehak, and I'm an engineer with the Policy and
9 Standards Branch for NIOSH/NPPTL.

10 And briefly, I'm going to give an overview
11 of, you know, the development of the CCER module.

12 Our goals and objective in developing the
13 standards was first and foremost to provide safe
14 apparatus for the user, and we wanted to focus on
15 consistent behavior for the devices.

16 We also wanted to make sure that the
17 standard avoided any kind of ambiguities, and we
18 wanted to develop the standard predicated on
19 certification and not in use and deployment of the
20 respirator.

21 We also wanted to make sure that test
22 subjects didn't control the outcome of the

1 certification. That's why we are going more to the
2 breathing and metabolic simulator for performance
3 and capacity tests.

4 We wanted shared responsibility between
5 the government, the users, and the manufacturers.

6 We wanted to make sure the units easier to
7 inspect and also wanted to make sure that there was
8 pass/fail indicators for temperature and mechanical
9 shock.

10 Our whole philosophy in developing it was
11 we wanted to be able to approve the simplest of the
12 signs that meet appropriate performance
13 requirements. Simplicity in design leads to ease
14 and confidence in use and greater reliability.

15 This slide up here, it basically reviews
16 the major sections of the CCER module. Basically
17 what I wanted to point out, where the big difference
18 is between the existing standards and the new
19 standards.

20 Again, we are going away from human
21 subject testing and going to using the breathing and
22 metabolic simulator, which is spelled out in our

1 capacity and performance requirements.

2 The capacity test, basically we are going
3 to use the constant work rate to set the capacity.
4 And the capacity, in lieu of duration, we are going
5 to be using liters of oxygen that the unit would
6 provide.

7 We also added wearability test
8 requirements to ensure that the respirators, when
9 worn by the user, will perform whatever
10 configuration it has to be in.

11 We also added environmental tests to
12 ensure the ruggedness and reliability of the CCER.

13 And we also added post certification
14 testing requirements. And basically for this, we
15 wanted to be able to evaluate the CCERs in the field
16 through our long-term field evaluation so that we
17 could tell, you know, from the abuse the units take
18 in the field, that they will perform as certified.

19 And lastly, we have a voluntary
20 registration of the CCER units where we will want
21 the individual users to register with us. In case
22 there is complaint or recall, whatever, we will be

1 able to get that information out to the users.

2 So that's a general overview of the CCER
3 requirements.

4 Basically, the comments from the --
5 basically the comments that we were seeking input
6 from the community, the user community, the
7 manufacturers, there is a lot of questions and a lot
8 of discussions that went in by us to determine the
9 schedule for phasing in the proposed rule.

10 As of now, we are letting the
11 manufacturer -- they have -- after the final rule is
12 in place, they have three years on their existing
13 certification to manufacture the units. And those
14 units will be certificated by NIOSH six years after
15 the final rule takes place.

16 We are also looking for any input on work
17 rates used for testing. We are also -- I mean, for
18 MSHA's purposes, we also, for units that are going
19 to be used in the mining field, that we still are
20 going to require Man Test 4, and that would just be
21 for those units, though, that are going to be used
22 in the mines.

1 Also, looking for any input on evaluating
2 units for hypoxia. And also on the safety and
3 durability of the eye protection.

4 I know we received some comments from DOD
5 on we should ramp up the requirements for eye
6 protection.

7 So any questions or comments related to
8 this or any input that you could provide us, you
9 know, it would be reviewed.

10 MR. HEARL: Thank you very much, Tim.

11 So at this point in the meeting, we are
12 ready to turn to the speakers who have signed up to
13 speak, which would be Mike Kay.

14 Mike, how would you like to do that? Can
15 you come up here?

16 MR. KAY: If it's all right with you, if
17 we can break with protocol, I could swing this
18 around here.

19 And I would like to address the panel
20 with --

21 MR. HEARL: Yeah, you can use that
22 microphone there. That's fine.

1 MR. KAY: It's not formal presentation.
2 It's comments and questions.

3 MR. HEARL: Sure. Just state your name
4 and who you represent for the record and go ahead.

5 MR. KAY: Is this going to work if I
6 just...

7 My name is Mike Kay. I'm engineering
8 manager for Ocenco, Incorporated. Thank you for
9 allowing us the opportunity to come and provide
10 comment.

11 We have been in the business a long time.
12 Ocenco has been providing respiratory protection
13 since 1981.

14 Like everyone else in the room today, our
15 primary focus has always been getting the miner or
16 the sailor, the industrial worker out of harm's way
17 and into a place of safety.

18 What I would like to do is start out with
19 some general technical comments I have regarding the
20 proposed rulemaking and then maybe follow up with
21 some broader comments and questions that I hope the
22 panel can provide some answers to, or response to.

1 The first topic I would like to discuss is
2 the capacity testing. The premise stated in the
3 rulemaking, that low capacity devices are likely to
4 be used for short, very challenging escapes that
5 would induce exceptionally high work rates, is not
6 supported by any evidence, and it appears to be
7 somewhat arbitrary.

8 The proposed capacity one test
9 requirements require capacity 1 CCER, short duration
10 device, to provide a ventilation rate of 55 liters
11 per minute STPD, standard temperature pressure dry.

12 Yet, the NIOSH-approved five- and
13 ten-minute open circuit escape devices, the
14 compressed air devices, are required only to provide
15 a ventilation rate of approximately 35 liters per
16 minute STPD. They are tested at 40, but that 40 is
17 at ambient temperature.

18 It's a 55 percent higher work rate that
19 the closed-circuit apparatus will be required to
20 perform at over an open-circuit device of the same
21 duration.

22 These devices are used successfully.

1 Open-circuit devices are used successfully in the
2 same hazardous environments as the closed-circuit
3 escape respirators. They are used in underground
4 mines. They are used onboard ships, in numerous
5 industrial applications.

6 They are used by the identical populations
7 and identical escape ways that closed-circuit escape
8 respirators are used in.

9 So my question is, where is this -- is
10 this high work rate coming from when currently
11 approved five- and ten-minute open-circuit escape
12 devices are not required to perform at the same high
13 work rate?

14 And I don't know if you want to -- if we
15 can discuss that now or should we wait until I'm
16 done with my presentation?

17 MR. HEARL: What would be your preference?

18 Maybe we should deal with the questions as
19 they come up.

20 MR. KAY: Yeah. I think that's a better
21 way to do it.

22 MR. REHAK: Continue.

1 If you want to just go through the whole
2 thing, and we will take it one at a time.

3 MR. KAY: I'm sorry?

4 MR. REHAK: Do you want to just go through
5 your whole -- what your questions are?

6 MR. KAY: All right. I can do that.

7 The second thing I wanted to talk about is
8 the performance testing.

9 Again, no data has been provided to
10 support the high respiratory performance test
11 requirements, like the Capacity 1 requirements, the
12 proposed 65 liter per minute ventilation rate, the
13 3.0 liter per minute VO₂ appears to be somewhat
14 arbitrary.

15 If you look at the 1983 Penn State study,
16 I think that -- is John Kovac here?

17 MR. KOVAC: Yes.

18 MR. KAY: That John and Mr. Kmon
19 (phonetic), Dr. Kmon were involved in, the oxygen
20 cost of escape from an underground coal mine, that
21 study found that the average peak VO₂s of motivated
22 coal miners -- these guys were motivated to get out

1 of the mine -- performing a series of underground
2 escape trials, was only 1.92 liters per minute.
3 Although their maximum VO₂, when measured on a
4 treadmill was much higher, at almost 2.47 liters per
5 minute.

6 Where I'm going is that the study
7 concluded that factors, such as roof and floor
8 conditions, darkness, limited muscle movement, and
9 fatigue, all of those contribute to the miners not
10 being able to work at their peak VO₂ rate.

11 A shipboard escape will also present
12 factors that prevent crew members from working at
13 their peak VO₂. Reduced visibility, tight quarters,
14 other crew members, all of these contribute to lower
15 VO₂s.

16 So instead of relying on laboratory
17 testing, which gives you these high peak work rates
18 on a treadmill, I think a series of escape trials
19 should be conducted to determine what the actual
20 escape respiratory requirements are for these
21 different environments.

22 The third topic I would like to discuss is

1 the hypoxia scenario, the conditions that you had
2 mentioned earlier.

3 The proposal claims that hypoxia could
4 occur with a compressed oxygen escape respirator if
5 an inexperienced user fills the bag with nitrogen.

6 But yet, again, no evidence is given in
7 support of this. We have never seen it. We have
8 been manufacturing closed-circuit compressed oxygen
9 devices since 1981. We haven't seen it in any field
10 evaluation.

11 In contrast to that, NIOSH performed 30
12 No. 4 man tests recently, a few years ago, on one of
13 our compressed oxygen devices.

14 These test subjects had little to no
15 experience wearing closed-circuit escape
16 respirators. None of the test subjects had ever
17 worn a device before. But despite this, none of
18 test subjects began the test by exhaling into the
19 device.

20 Exhaling potentially toxic air into a
21 closed-circuit escape devise is an unnatural act.
22 We just don't see it happening.

1 The only time users are taught to do that,
2 the only time they are taught to exhale into a
3 device is when the oxygen starter fails on a
4 chemical device.

5 Then according to Phase 10 field
6 evaluation, this occurs on 16 percent of one brand
7 of chemical CCER that NIOSH has tested.

8 When this occurs, the approved procedure
9 is to exhale six to ten times into the device to
10 activate oxygen generation. According to the NIOSH
11 report, expectations training for miners using
12 self-contained self-rescuers in escape from
13 underground mines -- long title -- it was reported
14 that it may take as long as seven minutes for the
15 device to build up to 19 and a half percent oxygen,
16 ambient levels of oxygen.

17 The LTFE reports phases 7 through 10 show
18 that that same chemical CCER frequently produces
19 inhaled oxygen levels down to 12 percent.

20 To help the device pass the LTFE testing,
21 NIOSH has modified the approved donning procedure by
22 first cold-starting each device and then activating

1 the starter only after inhaled oxygen levels reach
2 15 percent.

3 Therefore, we recommend that the proposed
4 rulemaking drop the requirement to exhale twice into
5 the CCER as it will tend to hide the known hypoxic
6 risk associated with chemical devices.

7 I mentioned cold starting. The cold
8 starting maneuver becomes impossible when the user
9 needs to transition from the device he has on to a
10 second device. The surrounding atmosphere is toxic.
11 He does not have sufficient volume in his breathing
12 bag in the device he is wearing to start up the
13 second unit.

14 This is a known current risk that
15 continues to go unresolved.

16 We recommended that the rulemaking require
17 that CCERs that use oxygen starters are equipped
18 with an indicator that allows the user to assess the
19 condition of the oxygen starting device.

20 Which brings me to indicator correlation.

21 For an indicator to have meaning, it
22 really much demonstrate a correlation to the

1 intended performance attribute by minimizing false
2 positives and false negatives.

3 LTFE reports show poor correlation between
4 the shake tests that are performed in some of these
5 devices and CO2 performance. It has varied widely
6 over the last several phases of the LTFE.

7 Some temperature indicators will show a
8 false positive when exposed to sunlight. I have
9 seen moisture indicators that have been exposed to
10 ambient air for days, and they still remain blue.

11 My point is, is NIOSH planning on
12 establishing and monitoring the sensitivity and the
13 reliability of these indicators? Again, for them to
14 be of value, I think -- I think that really needs to
15 be established.

16 Much like the way a pressure gauge has a 5
17 percent accuracy on it, I think that same type of
18 accuracy needs to be applied to these other
19 indicators.

20 These are kind of focused comments I had
21 on the proposed rulemaking. This is a bit more of a
22 global comment, and it speaks to the exclusion of

1 open-circuit devices from the rulemaking.

2 The reasons cited in the need for
3 rulemaking really apply equally to open-circuit
4 escape respirators.

5 Any concerns NIOSH has regarding storage
6 environments, nonuniform test regimes, or potential
7 confusion over duration-specific certifications, all
8 of those apply to all self-contained self-rescuers,
9 open-circuit or closed-circuit.

10 The proposed rulemaking speaks to the
11 market of CCERs. The market is really
12 self-contained escape respirators. Excluding
13 open-circuit escape respirators from the proposed
14 rulemaking artificially narrows the scope of the
15 market and creates an unlevel field of competition
16 as only the CCER users and CCER manufacturers are
17 forced to shoulder this burden.

18 It will force users to deploy larger,
19 heavier compressed air devices that cannot be worn
20 and therefore may not be used when needed.

21 Therefore, in the interest of safety and fair
22 competition, I believe open-circuit escape

1 respirators must be included in the rulemaking.

2 Which brings me to my last point, and I
3 have been struggling with this because I don't want
4 to come off being negative or combative, but I'm
5 trying to find the need for the rulemaking.

6 The proposal cites that CCER damage and
7 performance degradation reported in the 2002, the
8 seventh phase, field evaluation as a reason for the
9 proposed rulemaking.

10 Yet, in that same report, phase seven,
11 showed no difference between a new EBA and a
12 deployed EBA. They both test the same
13 performancewise.

14 The phase 9 report stated the same thing
15 for an M-20, that an M-20, new, tested no different
16 than an M20 deployed. No degradation seen in the
17 performance.

18 Again, I don't -- my intent isn't to get
19 negative, but it is really to highlight the problem
20 that everyone -- that no one seems to want to talk
21 about, is that there's one device out there through
22 phase seven, eight, nine, and ten that shows a

1 continued increasing performance degradation, and I
2 don't see the proposed rulemaking fixing that
3 problem.

4 The problem -- instead of applying a rule
5 to all CCER manufacturers, the problem exists with
6 one device. And I think agencies need to take
7 corrective and preventative actions on that matter.

8 That's all I have. Thank you.

9 MR. HEARL: Okay. Thank you for your
10 comments.

11 You cited a few things in your
12 presentation, and if you have supplemental
13 supporting data that you would like to offer, we
14 would be happy to receive those things into the
15 record.

16 MR. KAY: Okay.

17 MR. HEARL: I think the nature of our
18 question and answer here for the most part isn't to
19 debate judgments about the data as much as it is to
20 clear up questions or, you know, clarifications and
21 that and sort of thing, so we will go with that.
22 And with that as a preface, I want to see if any

1 panel want to remark?

2 MR. KATZ: Just before we start, you how
3 would you like that -- should I submit that to --

4 MR. HEARL: Well, you actually submit that
5 in any of three different ways. You can either
6 submit it by email --

7 MR. KAY: Oh, there it is.

8 MR. HEARL: -- to the address shown there.

9 You can mail it to the address there, or
10 you can submit it through the federal e-rulemaking
11 portal, which is www.regulations.gov, and follow the
12 directions there and refer to our appropriate docket
13 number from the announcements.

14 So those are the three different ways that
15 we can receive the information.

16 MR. KAY: Thank you.

17 MR. REHAK: Mike, any research or studies
18 or test results, you know, that support any of your
19 comments, you know, that would help out too.

20 MR. KATZ: I can provide that.

21 I would really if like if we can have a
22 little back and forth, though, on some of the issues

1 that I have raised.

2 MR. HEARL: I think within the scope of
3 what I mentioned in terms of clarifications, that
4 would be fine.

5 MR. SZALAJDA: Thank you.

6 This is Jon Szalajda. Thank you for your
7 comments, Mike.

8 We were just side barring at least as far
9 as the areas where we thought we could provide
10 clarification. I think they were on your points 1,
11 2, and 5 relative to the basis for the high work
12 rate, performance testing data for the high work
13 rate, and then also for the sensitivity and
14 reliability of the indicators.

15 MR. HEARL: Could you guys state your
16 names as you speak? This way we make sure we get it
17 correct for the record.

18 MR. STEIN: That was Jon Szalajda
19 speaking. This is Bob Stein.

20 The first point, I think, Mike, was the
21 low capacity device versus the long capacity device.

22 The different work rates that are applied

1 is kind of like an average work rate for that
2 capacity.

3 And it's not so much that a low capacity
4 device would be used in a higher performance escape,
5 just that for that duration, for that capacity, a
6 person can work at a higher percentage of their
7 ultimate for that period of time. Therefore it's
8 necessary to test the device to be able to provide
9 that higher average output over that short period of
10 time.

11 Over a longer period of time for a higher
12 capacity device, a person is not likely to be able
13 to sustain a high output work rate over that longer
14 period of time. Therefore, it doesn't behoove you
15 to see that it could provide at that high rate over
16 that entire duration like it does with a low
17 capacity device.

18 That's the basis for the difference in
19 those two regimes. Okay.

20 MR. KAY: Thank you, Bob. But I feel it's
21 somewhat of a non sequitur to say that because
22 someone can work at a high work rate for a short

1 period of time, therefore they will work at a high
2 work rate in a short duration escape, there's a bit
3 of a disconnect.

4 And my point in my presentation is to
5 refute that, is that open-circuit devices are a
6 fixed flow. They are fixed at a 35 liter per minute
7 STPD flow rate. There is, I think, 35 of these
8 devices out on the market right now, NIOSH-approved
9 devices, that are used in the same escapes that a
10 Capacity 1 CCER will be used in.

11 Yet, there have been no instances that we
12 are aware of where these devices, these open-circuit
13 devices, have failed to meet the ventilation
14 requirements of users during escapes. They have
15 been used for years.

16 So the lack of that evidence, the lack of
17 that reporting would strongly indicate that users do
18 not work at exceptionally high work rates for a
19 short duration escape.

20 They work at a work rate that is below the
21 40 liter per minute ventilation rate that these
22 fixed-flow open-circuit devices provide.

1 MR. HEARL: Okay.

2 MR. STEIN: The next point of
3 clarification was I think going against the maximum
4 work rates that are called out.

5 And I think your -- I'm not quite sure how
6 to respond to that one just in the way of
7 clarification.

8 I don't think -- maybe there isn't any
9 clarification to provide on that one because it was
10 just kind of a philosophical stance I think on that
11 one, too.

12 MR. KAY: Well, it was more of a I think a
13 technical stance in that the work rates that are
14 being recommended really don't seem to have any
15 basis in actual escapes.

16 And, again, I cite the 1983 Penn State
17 study with actual coal miners in an actual
18 underground coal mine escape did not generate
19 anything near 3 liter per minute VO2 oxygen
20 consumption although they were able to on a
21 treadmill produce 2.74 liter per minute.

22 In an actual escape, I cite the limiting

1 factors, darkness and terrain and all of that, all
2 of those prevent that person from reaching their
3 maximum VO₂, their maximum ventilation rate.

4 And, therefore, instead of looking at lab
5 data where we can say, well, we know that a test
6 subject on a treadmill can -- his maximum VO₂ is 3.0
7 liter per minute, it's not going to be 3.0 liter per
8 minute if he's making a shipboard escape or if he is
9 making an escape from a mine.

10 So that's what my recommendation is that
11 instead of relying on that laboratory data, go out
12 and measure.

13 We have the technology to measure
14 ventilation rate, to measure VO₂ and CO₂ production,
15 and see what these escapes actually cost -- or what
16 the metabolic costs are to the user.

17 MR. HEARL: The next question was?

18 MR. STEIN: The next point of
19 clarification, as I recall, was about the efficacy
20 of indicators.

21 And for clarification, Mike asked if we
22 planned on evaluating of the efficacy of those

1 indicators.

2 And I would say, yes, we do.

3 MR. KAY: Any comment on the hypoxia?

4 MR. STEIN: I didn't -- I listened to you
5 very closely, and I didn't recall a question for
6 clarification in there.

7 MR. KAY: Well, it was basically two
8 recommendations, and I just like to get your
9 comments on it.

10 One is we recommend that it be dropped
11 because by exhaling basically five and a half liters
12 of humid air into a chemical device, you are aiding
13 that chemical device artificially in starting. The
14 user will not do that.

15 And the risk in that is that the LTF data,
16 LTFE data shows that, at least on one particular
17 device, if you don't do that, it goes hypoxic.

18 So in the interests of safety, I think it
19 be dropped. Otherwise, that two exhaled breaths is
20 going to help mask any hypoxia scenario.

21 I understand it's geared towards
22 compressed oxygen, but we are not seeing hypoxia

1 with compressed oxygen. We are seeing the hypoxia
2 with the chemical sets.

3 And I think the way the test is written,
4 it is counterproductive to that. It is helping
5 prevent hypoxia as opposed to trying to encourage it
6 or to see what the actual hypoxic risk is of that
7 device.

8 Does that help?

9 MR. STEIN: I understand what you are
10 saying, but I mean, I don't -- I can't extract a
11 question, you know, to clarify what's in the
12 proposed standard to that.

13 MR. KAY: Okay. Well, then do you agree
14 that exhaling into a chemical device twice is a
15 benefit for that device and may mask a potential
16 hypoxia scenario based on NIOSH's published data?

17 MR. STEIN: Base on the published data.

18 MR. KAY: The LTFE?

19 MR. HEARL: I think that's something we
20 may need to take under consideration.

21 And is your recommendation then
22 specifically that instead of five breaths, that the

1 test -- or the instruction should be two breaths?

2 Is that what I'm gathering? Would you
3 state what you're recommending?

4 MR. KAY: I'm recommending no breaths.

5 I'm recommending that you start the device
6 in accordance with the approved manufacturer's
7 donning procedure.

8 MR. HEARL: Okay.

9 MR. STEIN: Okay.

10 MR. KAY: Okay.

11 MR. HEARL: Thank you.

12 MR. KAY: And then under hypoxia, as a
13 little sidebar, I had recommending an indicator to
14 allow the user to assess the condition of his oxygen
15 starter.

16 So do you agree that maybe -- is that
17 viable?

18 MR. HEARL: That may be beyond the scope
19 of what we are doing here to be able to answer that
20 question.

21 MR. KAY: Okay.

22 MR. ROSS: May I offer a comment?

1 MR. HEARL: Sure, if you state your name
2 and affiliation.

3 MR. ROSS: My name is Bill Ross, and I'm
4 an attorney with Latham and Watkins.

5 The comment is this. Obviously you can
6 set the rules as you like, but these gentlemen have
7 come a long distance in the hope -- I mean, their
8 history is one of working with you, not talking at
9 you. And they just came with in with the hope of
10 being able to do that today, just to talk and work
11 together.

12 So that's why they are -- that's why the
13 presentation is made the way it is. It was just --
14 I mean, their objective is to save lives, and that's
15 your objective. The hope was just to understand and
16 talk and, through that, hopefully produce a good
17 solution.

18 That's the whole thrust. And the hope was
19 that the responses would be in the same -- with the
20 same idea, and so that's why they attempted the
21 dialogue.

22 MR. HEARL: Sure.

1 MR. KAY: And I would like to get some
2 response on the -- on the scope of the exclusion of
3 open-circuit respirators from the rulemaking.

4 And can you give reasons why that would
5 be?

6 MR. HEARL: Ted, do you want to take that
7 one?

8 MR. KATZ: I mean, I can't speak to much
9 of what you have said about the open-circuit
10 respirators. I'm not an expert on respirators
11 myself.

12 But, I mean, this was a priority module
13 scoped out as this when we set priorities for
14 rulemaking.

15 This is not to say there won't be any
16 rulemaking in the future about open-circuit
17 respirators. I don't know if it's on the agenda
18 right now.

19 But this was -- I mean, NIOSH has taken a
20 modular approach in identifying high priority
21 regulatory items for amendment, and this was one of
22 these defined as such. So it never was considered

1 to be part of the scope of this rulemaking.

2 That's not to say there won't be
3 rulemaking on it, but that was not -- we are doing
4 rulemaking on a whole series of subjects, and they
5 are very well defined.

6 MR. HEARL: It wasn't actually an
7 exclusionary as it was just this is what we came to
8 take up.

9 MR. KAY: But I think by excluding it,
10 though, and then maybe potentially coming back or
11 coming to it later on down the road is you really --
12 the rulemaking talks about potential confusion over
13 duration specific certifications.

14 MR. KOVAC: Mike, can you raise your
15 microphone?

16 MR. KAY: I'm not used to talking this
17 long.

18 It raises -- it mentions confusion,
19 potential confusion among the end users when they
20 are looking at a duration specific CCER.

21 I think that confusion is now magnified
22 when you have industrial hygienists or a safety

1 manager of a mine or an industrial plant that is
2 making a decision between a ten-minute open-circuit
3 device and a Capacity 1 closed-circuit device.

4 At least before, he can look at, well,
5 it's a ten minute and a ten minute. But now he has
6 nothing to compare that to.

7 And if there's a risk with duration
8 specific devices being classified that way, well,
9 then that risk applies to all self-contained
10 respirators, not just closed-circuit.

11 And then by singling out closed-circuit,
12 you increase the potential of confusion to the end
13 user.

14 MR. KATZ: I appreciate that perspective.

15 MR. KAY: And, again, the point I made
16 about open-circuit is that they are used in the same
17 environments. Open-circuit devices are now used as
18 the primary escape respirator in a U.S. coal mine.

19 They used on board ships. They are used
20 in tunneling projects. They are used every place
21 closed-circuit escape respirators are used. We
22 compete routinely with them. The same user groups,

1 the same demographic.

2 To exclude open circuit from the
3 rulemaking I think is -- it was an economic burden
4 for us, but I think a greater issue is that it's --
5 it poses an increased safety hazard to the end user
6 ultimately.

7 Can I get any comment on why it would be
8 excluded?

9 MR. REHAK: This is Tim Rehak.

10 I mean, when we started, it was basically
11 what we are looking at in this whole module was for
12 the closed-circuit escape class of respirators where
13 we were updating the certification standard.

14 And as Ted said, I mean, we are in the
15 process, you know, of updating all of the respirator
16 classes with certification standards.

17 It takes time, and it's just that was what
18 we viewed as the one that needed, you know, updating
19 first.

20 MR. KAY: Would you then anticipate that
21 open-circuit would then eventually apply the same
22 rules --

1 MR. REHAK: Eventually, yes.

2 MR. KAY: -- as the --

3 MR. REHAK: Well, I can't say what -- I
4 mean, as of right now.

5 I mean, until we get in and develop the
6 rules and look at the standards, you know, I can't
7 give you a definite, you know, what will change and
8 what won't change on that, Mike.

9 MR. KAY: Because doing it piecemeal like
10 that seems to kind of minimize the economic impact.
11 Well, it's just a few users here. But if it were
12 done as self-contained escape respirators, then the
13 true economic impact would come out. The economic
14 impact to all of the end users would come to the
15 forefront.

16 Does that make sense?

17 MR. REHAK: I think I know what you are
18 saying.

19 I mean, I can't say yes or no at this
20 time.

21 MR. KAY: And, again, I get back to the
22 need for rulemaking. And the LTFE reports show that

1 by and large, most closed-circuit respirators are
2 doing pretty well.

3 They are holding up. There are a few
4 instances where manufacturing defects are found or a
5 device may have been used outside of its conditions
6 of use.

7 But by and large, they are holding up
8 pretty well, except one. And I think that needs to
9 be addressed.

10 MR. HEARL: Do you have a recommendation
11 for how you think that needs to be addressed?

12 MR. KAY: Well, up until 2002, user
13 notices were issued and -- about one per year.

14 And a user notice has not been issued --
15 it's a self-contained self-rescuer -- since 2002.

16 From an outsider -- a casual observer
17 looking in would conclude it looks like there aren't
18 any problems with closed-circuit escape respirators.

19 But if you look at the LTFE reports, phase
20 7 through 10, you see continual degradation on one
21 product.

22 And you have got a vehicle to address it.

1 I recommend you use it; you issue a task number.

2 MR. HEARL: Okay.

3 Okay. Any further comments?

4 MR. KATZ: I would just like to say --
5 this is Ted Katz, again -- for your colleague -- I'm
6 sorry. I forgot got your name.

7 But you asked about -- your wish that
8 there would be more dialogue about technical issues
9 and so on here.

10 And I just -- you have understand, in a
11 regulatory public meeting like this, there cannot --
12 by the rules, there cannot be that kind of open
13 dialogue.

14 I mean, your colleagues are welcome to
15 have technical dialogues any time with the NIOSH
16 technical staff about issues like this. We welcome
17 it. It only improves the program.

18 But you can't do that when you are
19 discussing rulemaking because you have to say within
20 sort of the four corners of the rulemaking, by
21 regulation, in effect.

22 MR. ROSS: Well, I don't want to get into

1 a debate, but I think it's a matter of policy rather
2 than law that's dictating your willingness to engage
3 in conversation today.

4 But we certainly appreciate the
5 opportunity to come and present. And perhaps more
6 than anything else, I was just trying to underscore
7 the fact that we are all here for the same reason.

8 And the comments that are offered today
9 are intended to save lives, and we understand you
10 are trying to do the same thing. And when that's
11 the mission, you would like it to be as much talking
12 with each other rather than talking at each other.

13 That's all. Thank you.

14 MR. HEARL: Indeed. And we look forward
15 to getting your comments, and we look forward to any
16 background material that you would like to put into
17 the record in support of the statements you made and
18 so forth.

19 And in fact, as I said, the record is open
20 until April 10, 2008 (sic) for submission of such
21 background documents that you may wish to put on the
22 record.

1 MR. ROSS: Thank you, and that actually
2 brought up another point.

3 If we need additional time, is there a
4 mechanism in this case for seeking an additional 30
5 days to put materials into the written record?

6 MR. HEARL: I think you could submit us a
7 letter to ask for an extent of the comment period,
8 and we could take that under consideration.

9 MR. ROSS: Very good. Thank you.

10 MR. HEARL: Any other comments from the
11 panel?

12 No?

13 Any other comments from the floor? Anyone
14 who would like to make a statement?

15 Please state your name and affiliation.

16 MR. WATZMAN: Bruce Watzman with the
17 National Mining Association.

18 Just a question.

19 Since passage of the miner act in 2006,
20 the industry has purchased and deployed tens of
21 thousands of new SCSRs underground at a considerable
22 cost, upwards of \$50 million.

1 Can you tell me what the impact of this
2 rulemaking, if it is completed in its current form,
3 what the impact of that will be on the SCSRs that
4 are now in the mines?

5 MR. HEARL: I think basically the period
6 for grandfathering of existing units goes out to six
7 years.

8 MR. WATZMAN: Yes.

9 MR. HEARL: So at the end of the six-year
10 term, from the date of -- that this rule is put into
11 effect, those units would no longer be usable.

12 MR. WATZMAN: So units that were brought
13 in today that have a defined life span to them, be
14 it ten years or greater, are going to be arbitrarily
15 withdrawn from the mine in six years?

16 MR. KATZ: I'm sorry. I didn't understand
17 what you were asking, but now I do.

18 So a unit sold today, right now we don't
19 have a final rule and an effective date. So I can't
20 tell you how much how much time to add to six years
21 to cover the unit that is sold today.

22 At the point that we have a final rule and

1 an effective date, at the point of that effective
2 date, any units sold after that effective date would
3 have a time limitation of six years to be considered
4 an approved NIOSH device.

5 MR. HEARL: And any units sold before the
6 effective date, the six-year clock would begin
7 running at that time --

8 MR. KATZ: Exactly --

9 MR. HEARL: -- or until the expiration of
10 the unit, whichever came first.

11 MR. WATZMAN: So you have answered my
12 question there, Frank.

13 So by virtue of this rulemaking, there
14 exists the potential that a significant number of
15 units that are in the mines today will have a
16 shorter life span than they would in the absence of
17 this rulemaking?

18 MR. HEARL: Correct.

19 MR. KATZ: That's correct.

20 MR. WATZMAN: And we have seen, just by
21 virtue of the Miner Act, the backlog that it has
22 taken the manufacturers to achieve the production

1 levels to meet that.

2 You know, I think you need to consider the
3 production constraints that exist on the
4 manufacturers who supply to this marketplace and
5 their capacity to deliver units.

6 Because you may well be putting us --
7 besides the financial considerations, which are
8 significant, you may well be putting us into a
9 situation whereby virtue of setting this arbitrary
10 six-year period, that we can't -- we don't have
11 units underground, and, therefore, can't operate the
12 mines.

13 And I think that's a consideration that
14 you need to think about as you work on this.

15 Going forward, new units, you know,
16 that -- people understand that when they make --
17 when they design their mine, when they talk about
18 mine plans, when they make capital expense
19 considerations.

20 But to apply this retroactively I think
21 raises a whole series of considerations that you
22 probably haven't thought about and are real-life

1 considerations in the operation of the mines.

2 MR. HEARL: Any comment from the panel?

3 I want to just point out one thing,
4 actually.

5 Part of the provision that we were asking
6 for question on in terms of the phase-in proposal is
7 that the manufacturers are allowed to continue to
8 sell the units that are currently certified for
9 three years, and then it is three years beyond that.

10 Admittedly, if you are buying during that
11 three-year period, you know you are having something
12 that's going to run out six years after the
13 enactment date, but it did provide for basically
14 what I think you were getting at, which is that a
15 mine that is required to have the units on could
16 still be purchasing from a manufacturer before they
17 are ready to produce new units for a three-year
18 period.

19 And at that point, that's the way that the
20 proposed rule is set up.

21 MR. WATZMAN: I understand that. I'm just
22 not certain that the three and three is sufficient

1 and that it -- that a longer phase-in may be
2 warranted and would ask you to think about that.

3 MR. HEARL: Thank you very much. Will do.

4 MR. HARKNESS: Yeah, Ira Harkness. I'm an
5 engineer with the Navy, NSWC, Panama City Division.

6 And I just want to say that we do support
7 the proposed capacity tests and performance tests.

8 And basis for that support is data from
9 tests that we ran, the Navy ran, probably back in
10 the '90s.

11 I know you are going to ask, well, can we
12 have that data, and it's not my position to make the
13 promise or even to authorize the public release.

14 Just sufficient it to say that we did do
15 testing, and we know that a sailor can get from the
16 deepest space in the ship to weather in about five
17 minutes. And the people who did the test and made
18 the recommendation concluded that a SCSR with a --
19 the minimum rated duration SCSR that would provide
20 the oxygen necessary for that escape would be a
21 ten-minute rated device.

22 So, like I said, I just wanted to make the

1 point that we do support the proposed rule on those
2 two requirements.

3 Thank you.

4 MR. HEARL: Thank you.

5 Any other comments from the floor? Anyone
6 who would like to -- if there are no further
7 comments, at this point I think what we will do is
8 go into recess and wait until around 4:30 or so.
9 And then we will adjourn after that if there is no
10 one that shows up that wants to make comments.

11 So going once, going twice. And I guess
12 we have none, so we will now go into recess and go
13 off the record for about two hours, two and a half
14 hours.

15 If anyone wants to make further remarks,
16 please see me, and we will come back into session.
17 Thank you.

18 (A recess was taken.)

19 MR. HEARL: Okay, I think we can go back
20 on the record again for the public meeting on --
21 this one is on the closed-circuit escape
22 respirators.

1 And it is now roughly 4:25, and I would
2 open the meeting and see if anybody has any closing
3 remarks or comments or questions or anything that
4 they would like to offer at this point in time.

5 From the panel? No?

6 In that case, I think what we will do is
7 we will declare the meeting closed, and I want to
8 thank everybody for coming.

9 And I thank those who offered comments
10 very much, I want to remind you all that you can
11 continue to submit comments through April 10. And
12 the three routes of entry for doing that is, one is
13 the www.regulations.gov, which is the federal
14 government's submittal portal.

15 The other is by mail to the docket office
16 at the Robert A. Taft Laboratories, Mail Stop C-34,
17 4676 Columbia Parkway, Cincinnati, Ohio, 45226.

18 And the third way is by email to
19 niocindocket@cdc.gov.

20 And we will be happy to take any comments
21 or supporting data materials that you would like to
22 submit to the record by any of those means.

1 So with that, we will call this meeting to
2 an end and thank you all for coming, and travel
3 safe.

4 Meeting adjourned.

5 (Whereupon, at 4:28 p.m., the proceedings
6 in the above-captioned matter were concluded.)

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CERTIFICATE OF REPORTER

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Joseph A. Inabnet
Court Reporter