Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
F1	SC&A believes it is important to qualify the use of the Vulcan Crucible billing rate against the Board's five surrogate data criteria. SC&A questions the selection of Vulcan Crucible and Steel as a data source used to support the SEC petition. Although the site was also an AWE that processed uranium metal, no rationale is given for why the site's mill-hour billing rate is a reasonable substitute to SSC other than this is the site used in the TBD. It is unclear to SC&A what the impacts of process conditions, final mill product, and mill throughput have on final mill costs. Additionally, no information was provided to support the selection of this billing rate over other uranium processing facilities' rates. In a cursory search for other facilities' billing rates, SC&A identified Joslyn Manufacturing Company had a mill rate of \$88 per mill hour in 1948 (SRDB Ref. ID 11996, PDF p. 131). Use of this billing rate would increase the estimated number of mill hours by over 200 hours and is not bounded by the NIOSH "bounding" estimate of 500 hours per year. SC&A does note that the SEC bounding assumption of 500 hours per year is in conflict with the TBD bounding assumption of 800 hours per year.	Given that "SC&A concludes that doses to workers covered by the SEC petition can be reconstructed in a scientifically sound and claimant-favorable manner" (SCA-TR-2019-SEC003, p.5), NIOSH proposes that this finding be considered a Site Profile issue for tracking purposes. In the review of the ER for SEC-00247, SC&A states the Vulcan Crucible billing rate should be qualified for use in Superior Steel Co. exposure assessment. This surrogate billing rate is used to determine the number of uranium rolling hours in order to establish the occupancy rate, or exposure time from uranium rolling. As such, this information is used to develop a parameter for use in dose reconstruction. Therefore, it is "Type II" surrogate data, according to the Board's 2010 "Criteria for the Use of Surrogate Data." SC&A, in their review, uses the Board's five surrogate data criteria to qualify use of this piece of information. Finding 1 is specific to the "Site or Process Similarities" criterion and SC&A found no issues with the other four criteria in the Board's document. NIOSH agrees with SC&A that the billing rate should be reviewed and qualified for applicability to Superior Steel Co. NIOSH evaluates surrogate data in accordance with five criteria listed in Section 3 of "The Use of Data from Other Facilities in the Completion of Dose Reconstructions Under the Energy Employees Occupational Illness Compensation Program Act"

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Superior Steel Co. Special Exposure Cohort (SEC-00247) Issues Matrix			
Finding / Observation No.	Issue Identified by SC&A	NIOSH Response	
		(OCAS-IG-004). It is important to note the Board's criteria are different than NIOSH's criteria.	
		To specifically respond to this finding, NIOSH evaluated the Vulcan Crucible Billing rate via the 5 criteria in the IG:	
		1. Source Term	
		Both Vulcan Crucible and Superior Steel rolled uranium billets. The source term, in this sense, is used only to determine the metal being processed to justify a comparable process for billing purposes.	
		Note: the Vulcan Crucible source term is not used to determine air concentrations or other exposures at Superior Steel Co.	
		2. Facility and Process Similarities	
		This NIOSH criterion is similar to the specific Board Criterion discussed in finding 1.	
		Vulcan Crucible was performing billet rolling (roughing and finishing work) into rods using five rolling machines. According to their contract, Vulcan Crucible was to roll at least two consecutive weeks out of a five-week period (a week is defined as six, nine-hour days) (SRDB 079177). Twenty percent of Vulcan Crucible total rolling time was AEC contract work (SRDB 006322). Vulcan Crucible	

utilized 25 employees to accomplish their rollings (SRDB

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Superior Steel Co. Special Exposure Cohort (SEC-00247) Issues Matrix

Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		 006321). Vulcan Crucible's process involved: Uranium metal heated in a furnace; Billets conveyed to roughing roll; Billets passed through roughing roll twice; Rods passed through a finishing roll; Rods dragged to shears, cut and taken back to a quenching area for marking and descaling (brushing); and Rods conveyed to receiving and shipping room. The total time to heat and roll one billet was approximately 75 minutes (SRDB 008527).
		 The Superior Steel process involved: Uranium slab placed into salt bath then into a furnace; Slab craned to roughing mill table; Hot slab passed through roughing mill five times; Slab sent through brushing station; Slab sent to finishing stand; Slab cut to desired dimensions at shear station; and Slab rolled for storage or shipping purposes.
		Although Vulcan Crucible turned slabs into rods and Superior Steel turned slabs into small slabs, these two

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Superior Steel Co. Special Exposure Cohort (SEC-00247) Issues Matrix		
Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		processes are similar from a billing rate (payment per hour) consideration.
		3. Temporal Considerations
		The contract that gives Vulcan Crucible's billing rate is dated 1948 and the Superior Steel contract covered 1952 to 1957. Therefore, they are both in the same era of operations.
		Billing rates tend to increase over time; therefore, the billing rate in the 1950s would likely be higher than the billing rate in the late 1940s. The higher billing rate would result in a smaller number of milling hours. Therefore, the use of the earlier Vulcan Crucible billing rate is considered claimant-favorable.
		4. Data Evaluation
		This criterion doesn't directly apply, as the IG discusses review of the quality of exposure data (i.e., "Type I" surrogate data as defined in the Board Criteria) and monitoring data from Vulcan Crucible is not being used. The surrogate data in question is the billing rate.
		Because the data in question is the billing rate, NIOSH considers the "data evaluation" criterion to involve reviewing other available billing rates. In Finding 1, SC&A questioned the choice of the Vulcan Crucible billing rate over other facilities' billing rates and found

that no information was provided to support that choice.

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		Therefore, the evaluation of this criterion provides a response to that part of the Finding.
		Extensive SRDB, OSTI, and Google searches were performed for the years 1949 to 1969 with search terms "rolling rates, hours milling, pieces per, "Rolled uranium", "Rolled thorium", "Rolling uranium", "Rolling thorium" for the following sites:
		 Aliquippa Forge Allegheny-Ludlum Steel Bliss & Laughlin Steel Brush Beryllium Co (Detroit) Carpenter Steel Co Fernald Hanford Joslyn Manufacturing and Supply Co, and Simonds Saw and Steel Co
		[Note: NIOSH is still awaiting responses on 3 data requests. If additional pertinent information is captured, NIOSH will share it with the work group.] These searches returned 3 potential billing rates in addition to the Vulcan Crucible billing rate.
		In 1952, Simonds Saw and Steel had a billing rate of \$110.53 per rolling hour (SRDB 11996, page 32). The number of mill-hours calculated using this billing rate and FY1957 payment is comparable to the assumed 500 mill-

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		hours, determined using the Vulcan Crucible billing rate. In a letter dated February 26, 1948, Joslyn Manufacturing was stated as providing a rate of \$0.265/pound (SRDB 11996, page 129) equating to approximately \$450.00/rolling hour. However, this rate was quoted to roll rods from 4.25" round down to approximately 1.5" round and it would be imperative that the temperature not exceed 650° at any time during the operation. This surrogate billing rate was not used due to the different processes and controls taking place, which were likely driving the cost up. Also note, this higher billing rate would have resulted in less exposure hours.
		In their review, SC&A references a billing rate of \$88.03 per hour for Joslyn Manufacturing Company (SRDB Ref. ID 11996, PDF p. 131). Further review of the reference revealed that this estimate is for the Simonds Company mill costs and equates to 11 cents per pound. Simonds then indicates that they will consider a possible bid at cost +10% or \$96.83 per hour. However, Simonds chose not to take the work, due to the strict medical and security requirements. Therefore, the hourly rate in this reference was not implemented. Because this rate was not implemented, it is not a good candidate as a surrogate billing rate.
		Modification #5 to the Superior Steel contract, effective July 1955, was located during a data capture (SRDB 174312). This contract details an increase in the rolling

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		rate to \$1.01 per pound plus details payment rates for additional services that may be performed on the slabs: (1) \$0.030 per pound for inspection after pickling and before shipment to heat treating facility, (2) \$0.050 per pound for inspection of flats before planing, and \$0.055 per pound for Beta treating the slabs. If all additional services are provided, the total rate per pound is \$1.145.
		5. Review of Bounding Exposure Scenario
		Since the surrogate data in question is not exposure data (Type I), this criterion doesn't directly apply. However, for this evaluation NIOSH reviewed the conservatism included in the calculation of the uranium mill-hours, as proposed in the ER, and compared this to other information available that can inform the number of mill- hours.
		The total amount paid to Superior Steel over the contract was \$356,849 (SRDB 16488). Based on contract payments for 1954 through 1957, the highest annual payment was \$217,246 in FY1956. Data for the remaining years indicate payments ranging from \$17,658 (FY 1955) to \$54,632 (FY 1957). There is no evidence that the production rate in 1956 was different from other years. In addition, Modification #5 to the contract (SRDB 174312) Schedule A shows that Superior Steel Co. was approved to receive funds for equipment. Given the dates
		of this contract modification, it is likely these purchases would have taken place in 1956, which could explain the

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		large payment to Superior Steel Co. in that year. Using the Vulcan Crucible billing rate of \$132 per mill- hour and the FY1957 payment of \$54,632, the number of Superior Steel Co. mill hours would be approximately 414 mill-hours for FY 1957. For the proposed ER approach, this number was rounded up to 500 mill-hours of exposure to be assumed for all years as a bounding assumption for the number of uranium mill rolling hours.
		assumption for the number of uranium mill rolling hours. This equates to 500 hours internal exposure and 500 hours external exposure for rolling activities. Additional internal exposures are assumed per settling and resuspension during non-uranium rolling and additional external exposure hours are assumed from contamination and during storage of the material and scrap on site (see discussion in Observation #3).
		Comparison to Other Available Information Rolling Information in Table 7-1
		Table 7-1 in the ER shows, conservatively, there were 16 rolling days in the approximately 3 years of rolling data represented in the table. Doubling this number to account for 6 years of work yields 32 rolling days over the contract. Assuming 10 hours per rolling day provides a total of 320 total hours <i>over the contract</i> or an average of approximately 54 hours per year = 6 days per year.

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		Modification #5 to Superior Steel Co. Contract
		Modification #5 to the Superior Steel Co. contract, effective July 1955, was located during a data capture (SRDB 174312). This contract details an increase in the rolling rate to \$1.01 per pound plus details payment rates for additional services that may be performed on the slabs: (1) \$0.030 per pound for inspection after pickling and before shipment to heat treating facility, (2) \$0.050 per pound for inspection of flats before planing, and \$0.055 per pound for Beta treating the slabs. If all additional services are provided, the total rate per pound is \$1.145.
		Assuming the amount paid to Superior Steel Co. over the entire contract (\$356,849) was paid for mill time and that only rolling was performed (use the rolling rate of \$1.01 per pound), Superior Steel rolled approximately 353,316 pounds of uranium. Using the slab weight data available in Table 7-1 of the ER, the average slab weights ranged from 216 pounds to 599 pounds (overall average of 272 pounds) and Superior Steel could roll approximately 25- 30 slabs in a day. Using this information and the total pounds rolled calculated above, the total number of calculated rolling days is approximately 51 days <i>for the</i> <i>entire contract</i> . This is substantially lower than the current TBD's 800 hours per year (80 days per year) and lower than the proposed 500 hours per year (50 days per year) in the ER.

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		CONCLUSION Though the exact Superior Steel Co. contract was located, the billing rate is quoted per pound. Unfortunately, there is limited information available regarding the typical weights per slab or typical weight processed in a day or year. Therefore, in order to use the billing rate specific for Superior Steel Co., additional assumptions regarding these variables are required. Given the uncertainty in these additional assumptions, that use of these assumptions drastically reduces the number of rolling hours, and that the Vulcan Crucible billing rate was evaluated and qualified as a surrogate (via the review of surrogate data above), NIOSH stands by the use of the Vulcan Crucible billing rate to determine the uranium rolling hours, as proposed.
Actions and WG Discussion: O1	New approach to bounding source term using contract billings. To SC&A's knowledge, bounding the source term has not been done based on contract billing in combination with another site's billing rate in the manner that it is being done here. SC&A believes the Board needs to weigh in on the acceptability of this use.	To clarify, NIOSH used the Vulcan Crucible billing rate to calculate the number of uranium rolling hours from the Superior Steel contract payments. The number of uranium rolling hours gives the occupancy rate (i.e., exposure time); it is not used to bound the source term. The source term for Superior Steel Co. is based on the AEC contract (i.e., the initial bid was for uranium rolling) and other AEC process documents (e.g., memos regarding shipments of material, technical reports). For internal dose, the intake rate is calculated based on the air sampling results from the HASL air-sampling campaigns

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Superior Steel Co. Special Exposure Cohort (SEC-00247) Issues Matrix

Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		performed during four uranium rollings at Superior Steel Co For external dose, the ER proposed to use the external dose rate for uranium rolling exposures provided in Battelle-TBD-6000.
		As NIOSH sees it, the occupancy rates (i.e., exposure time) are determined by the information available for a site. The approach taken here is a defensible approach because Superior Steel Co. was on a cost-plus-fixed-fee contract with AEC, meaning they would have been paid based on the work performed. The assumption that the entire payment to Superior Steel was made for mill hours is claimant-favorable because these payments could include equipment upgrades (i.e., documentation shows Superior Steel made requests for additional equipment from AEC) and other payments (e.g. indirect administrative costs and awards of extra compensation) not directly related to milling time. However, the assumption made is that the entire payment is for milling time alone.
		Reviewing the rolling information supplied in Table 7-1 of the ER, there were 16 rolling days in the approximately 3 years of rolling data represented in the table. Doubling this number to account for 6 years of work yields 32 rolling days over the contract. Assuming 10 hours per rolling day provides a total of 320 total hours over the contract. See also discussion in Finding 1. Therefore, NIOSH upholds that the estimate of 500 rolling hours per year is conservative and bounding.

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
Actions and WG Discussion: F2	SC&A finds insufficient evidence to support splitting the data into pre- and post-1955 survey distributions. Evidence suggests that the May 1955 sample results may not be representative of the typical working air concentrations at the site because they represent a theoretically small point in time where engineering controls reduced airborne contamination. The reductions in air concentrations seen by the introduction of man-cooling fans and additional ventilation appear to have been largely offset by the introduction of slab brushing in the September 1955 survey. Lacking evidence of additional engineering controls implemented after the introduction of slab brushing, SC&A does not believe that limiting the post-1955 distribution to just 1955 data bounds potential air concentrations in later years.	Given that "SC&A concludes that doses to workers covered by the SEC petition can be reconstructed in a scientifically sound and claimant-favorable manner" (SCA-TR-2019-SEC003, p. 5), NIOSH proposes that this finding be considered a Site Profile issue for tracking purposes. As this finding states, SC&A found "there was insufficient evidence to support splitting the data into pre- and post-1955 survey distributions." (SCA-TR-2019- SEC003, p.14) In addition, "SC&A does not believe that limiting post-1955 distribution to just 1955 data bounds potential air concentrations in later years." (SCA-TR- 2019-SEC003, p. 15)
		On June 21, 2019, NIOSH requested to see the data analysis and method used by SC&A for this conclusion. NIOSH received and reviewed the SC&A air sample data analysis. In reviewing the information provided, NIOSH found that SC&A made an error in the development of the box plots presented in their ER review. This was specifically due to a problem with the default action of the function used when a plot is zoomed and resulted in not all of the air sampling data being included in their box plots. Since box plots are only a visual test of whether data distributions are similar, ORAUT performed hypothesis tests to compare the datasets. A summary of this analysis

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		 is below: Four sets of air monitoring data were found for Superior Steel; 5/13/1953, 8/3/1953, 5/9/1955, and 9/19/1955. In each set of raw data values located, a subset in each was excluded due to the data not being representative of operational data: 5/13/1953 – 28 data values were located but five were excluded because they were taken during pre-rolling, i.e., no slabs were being processed. Four sets of data were excluded because they appear to be a reiteration of the average values for the finishing areas. Therefore, there were 19 samples used from the May 1955 dataset. 8/3/1953 – 36 data values were located but 10 were excluded because they were labeled as background readings and therefore not considered operational data; leaving 51 data values for analysis. 9/19/1955 – 46 data values were located but five were marked as being taken during lunch and therefore were not considered as operational data, leaving 41 data values for analysis.
		This statistical review demonstrated that the May 1955 dataset is not from the same distribution as the other three datasets. Visually it appears lower. Since the data doesn't demonstrate a sustained decrease in air

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		concentrations (i.e., September 1955 is from the same distribution as the May and September 1953 dataset) and the Superior Steel Co. references don't provide strong evidence engineered controls had been installed prior to the May 1955 sampling, NIOSH proposes to remove this data from the intake analysis as not representative.
		NIOSH would combine the remaining 3 data sets (i.e., May 1953, September 1953, and September 1955) to determine intake rates that would be applied for the entire exposure period. In-line with the approach in TBD-6000, separate intake rates would be determined by job title (i.e., operator, general laborer, supervisor, and clerical).
Actions and WG Discussion: O2	There is a sound basis to use some fraction of the uranium concentration as the basis for the thorium concentration; however, SC&A notes that use of a one-to-one ratio could be important for consistency. This ratio is considerably more claimant favorable than the 10 percent assumption. The approach used here could establish a precedent that might require NIOSH to revisit previous ERs that use a lower ratio. For the reasons noted in finding 1, SC&A does not support isolating the 1955 HASL studies from the 1953 studies. SC&A notes that resolution of that issue will have a direct impact on the thorium internal dose reconstruction.	 NIOSH disagrees that the approach used for Superior Steel is inconsistent with the approach used at other sites. In the case of Bridgeport Brass, the 10% ratio is applied because both uranium and thorium were being processed at the same time (i.e., milling time is assumed to be overlapping). See page 13 of ORAUT-TKBS-0030 Rev 02 for a discussion of the determination of the 10% by mass, and Tables 3-6 and 3-8 for the applicable date ranges for the uranium and thorium intakes respectively. The Bridgeport Brass air mass load is being divided based on the total mass of uranium and thorium material that was concurrently processed during a given time. This approach can be thought of as splitting or proportioning the alpha result for the air sample based on the proportion

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		of thorium work to uranium work. This would need to be done because the air sampler would have been running during both thorium rolling and uranium rolling activities. Therefore, the total alpha result for the air sample would represent concurrent thorium and uranium intakes. Note the typical alpha analysis performed on air samples would not be able to distinguish the thorium from uranium directly to report these separately.
		In the case of Superior Steel, the uranium and thorium metals were processed during separate periods (i.e., thorium rolling was not performed during the HASL air- sampling campaigns, only uranium rolling occurred). Therefore, the air sample alpha result is only due to uranium contamination.
		The proposed Superior Steel approach assumes that the generation rate of airborne contamination (and therefore the air sample mass load) calculated from the HASL air sample results from uranium rollings at Superior Steel is applicable to thorium rollings at Superior Steel. The air mass load for uranium is assumed to be the same for the thorium operations because it was stated that Superior Steel would use the same procedures (SRDB 75651, License No. C-3480).
		Note: This same assumption (i.e., that the uranium airborne contamination generation rate during milling is the same as the thorium airborne contamination generation rate during milling) is applied in the

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		Bridgeport Brass approach, but is not directly stated as so.
Actions and WG Discussion: O3	SC&A finds this storage time assumption to be inadequate to capture the length of time material was likely found on site. If the site milled uranium metals for 500 hours per year, then it is reasonable to assume 10-hour milling once per week. For the 250 pre-rolling and 250 post-rolling hours, assumption to hold true, uranium metals would have to arrive on the day before rolling and be shipped off-site the day following rolling. Table 7-1 in the NIOSH SEC ER shows that the site regularly had more than a single day's rolling in inventory. Additionally, during the April 2019 Board meeting, the petitioner indicated that scrap material was stored on-site for extended periods of time post rolling.	 In light of the April 2019 ABRWH petitioners' comments and additional review of applicable reference documents, NIOSH has re-evaluated the amount of onsite storage time associated with the uranium source materials and scrap metal. NIOSH proposes to change the exposure time assumptions for stored material from 500 hours per year to year-round, minus rolling time, for the entire operational period (i.e., from June 1952 through the end of the contract in November 1957).
Actions and WG Discussion: O4	Despite the claimant favorability of the assumption, SC&A questions the decision to assume annual medical examinations in spite of a lack of evidence. In recent years, the Board has made a concerted effort to improve consistency between sites. It is unclear if all AWE sites with no evidence of examinations receive the same claimant- favorable assumptions.	For occupational medical X-ray dose reconstruction, NIOSH uses the guidance provided by ORAUT-OTIB- 0006 Rev. 05, <i>Dose Reconstruction from Occupational</i> <i>Medical X-Ray Procedures</i> , which provides default assumptions when evidence is lacking. With regards to chest X-rays, ORAUT-OTIB-0006 states, "In the complete absence of information about a site's chest X- ray screening protocol and standard projections (including the lack of X-ray records in the claim files), a pre- employment, annual, and termination PA radiographic chest X-ray should be assumed" (p. 25). Therefore, with a lack of X-ray protocol information and a lack of X-ray records in the claimant files for the Superior Steel Co.,

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Finding / Observation No.	Issue Identified by SC&A	NIOSH Response
		 this default applies. An additional consideration for whether to assign occupational medical dose is the physical location of where the X-ray examination occurred. To determine the location where the X-rays were performed, NIOSH uses the guidance provided by ORAUT-OTIB-0079 Rev. 02, <i>Guidance on Assigning Occupational X-Ray Dose Under EEOICPA for X-Rays Administered Off-Site</i>, which states that when neither historical documentation nor claim file records provide information about where the occupational X-rays were taken, dose reconstructors should assume occupational medical X-ray exposure occurred at the covered facility where the energy employee worked. Therefore, with a lack of evidence regarding where X-rays were taken, the default assumption that occupational medical X-rays occurred on-site is applied for Superior Steel Co. NIOSH welcomes examples from SC&A regarding its inconsistent application of this guidance for other AWE sites.

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